OCTOBER 12, 2004

Yuba County Government Center
Board of Supervisors’ Chambers
915 Eighth Street, Suite 109A
Marysville, California

2:00 P.M.  I   CALL TO ORDER

II  ROLL CALL – Directors Rick Brown, Mary Jane Griego, Dan Logue, Richard Webb

III  BOARD AND STAFF MEMBERS’ REPORTS

III  ACTION ITEMS

A. Minutes: Approve minutes of the meetings of September 28, 2004.

B. Ordinance: Hold public hearing, waive second reading, and adopt ordinance levying special taxes within Three Rivers Levee Improvement Authority Community Facilities District No. 2004-1 (South County Area). (Second Reading) (Continued from October 5, 2004)

C. Request for Proposals: Approve solicitation for Request for Proposals for Levee Setback Engineering and Design Project.

IV  PUBLIC COMMUNICATIONS: Any person may speak about any subject of concern provided it is within the jurisdiction of the Levee Improvement Authority and is not already on today’s agenda. The total amount of time allotted for receiving such public communication shall be limited to a total of 15 minutes and each individual or group will be limited to 0 more than 5 minutes.

V  ADJOURN
THREE RIVERS LEVEE IMPROVEMENT AUTHORITY
COUNTY OF YUBA
MINUTES – BOARD OF DIRECTORS
SEPTEMBER 28, 2004 – SPECIAL MEETING

A meeting of the Board of Directors of the Three Rivers Levee Improvement Authority was held on the above date, commencing at 1:09 p.m., within the Government Center, Marysville, California, with a quorum being present as follows: Directors Mary Jane Gregio and Richard Webb. Also present were Executive Director Kent McClain, County Counsel Daniel Montgomery, and Clerk of the Board of Supervisors Donna Stottlemeyer. Chairman Webb presided.

ACTION ITEMS

Community Facilities District No. 2004-1/Formation and Special Election: Assistant County Administrator Randy Margo and Tax Consultant Tim Youmans recapped the formation of the community facilities district under the Mello Roos Act of 1982 and the rate and method of apportionment of special taxes to be levied. Mr. Margo and Youmans responded to Board inquiries.

Chairman Webb inquired if any property owners or registered voters in the District wished to file written protests. There being none, Chairman Webb opened the public hearing. No one came forward and the public hearing was closed.

Upon motion of Director Gregio, seconded by Director Webb, and unanimously carried, the Authority adopted Resolution No. 04-66, which is entitled: "RESOLUTION OF FORMATION OF THREE RIVERS LEVEE IMPROVEMENT AUTHORITY COMMUNITY FACILITIES DISTRICT NO. 2004-1 (SOUTH COUNTY AREA), AUTHORIZING THE LEVY OF A SPECIAL TAX WITHIN THE DISTRICT, PRELIMINARILY ESTABLISHING AN APPROPRIATIONS LIMIT FOR THE DISTRICT, SUBMITTING LEVY OF THE SPECIAL TAX AND THE ESTABLISHMENT OF THE APPROPRIATIONS LIMIT TO THE QUALIFIED ELECTORS OF THE DISTRICT."

Upon motion of Director Webb, seconded by Director Gregio, and unanimously carried, the Authority adopted Resolution No. 04-07, which is entitled: "RESOLUTION DETERMINING THE NECESSITY TO INCUR BONDED INDEBTEDNESS WITHIN..."
THREE RIVERS LEVEE IMPROVEMENT AUTHORITY COMMUNITY FACILITIES DISTRICT NO. 2004-1 (SOUTH COUNTY AREA) AND SUBMITTING PROPOSITION TO THE QUALIFIED ELECTORS OF THE DISTRICT."

Upon motion of Director Webb, seconded by Director Griego, and unanimously carried, the Authority adopted Resolution No. 04-08, which is entitled: "RESOLUTION CALLING SPECIAL ELECTION WITHIN THREE RIVERS LEVEE IMPROVEMENT AUTHORITY COMMUNITY FACILITIES DISTRICT NO. 2004-1 (SOUTH COUNTY AREA)."

ADJOURNMENT

There being no further business to come before the Three Rivers Levee Improvement Authority the meeting was adjourned at 1:40 p.m. by Chairman Webb.

________________________________________
Chairman

ATTEST: DONNA STOTTEMEYER
CLERK OF THE BOARD OF SUPERVISORS
AND EX-OFFICIO SECRETARY OF THE PUBLIC AUTHORITY

_________________________________________ Approved: ____________________

09/28/04 – SPECIAL MEETING PAGE 31
TO: Board of Directors
FROM: Charles K. McCain, Executive Director
DATE: October 5, 2004
SUBJECT: Canvass of Election Regarding Establishment of Three Rivers Levee Improvement Authority Community Facilities District No. 2004-1 (South County Area), and First Reading of Ordinance Levying Special Taxes in the District

RECOMMENDATION:
That the Board of Directors adopt the resolution entitled:

RESOLUTION NO.
RESOLUTION DECLARING RESULTS OF SPECIAL ELECTION AND DIRECTING RECORDING OF NOTICE OF SPECIAL TAX LIEN

And that the Board of Directors have the first reading of an ordinance entitled:

ORDINANCE NO.
AN ORDINANCE LEVYING SPECIAL TAXES WITHIN THREE RIVERS LEVEE IMPROVEMENT AUTHORITY COMMUNITY FACILITIES DISTRICT NO. 2004-1 (SOUTH COUNTY AREA)

BACKGROUND:
On September 28, 2004, the Board of Directors of the Three Rivers Levee Improvement Authority (the "Authority") held public hearings regarding the formation of the Three Rivers Levee Improvement Authority Community Facilities District No. 2004-1 (South County Area) (the "CFD") and the issuance of bonded indebtedness of the CFD. Following the closing of the public hearings, the Board of Directors adopted a resolution of formation of the CFD of necessity to incur bonded indebtedness for the CFD, and a resolution calling a special election for the CFD to occur on October 5th. The owners of land in the CFD as of the close of the public hearings on September 28, 2004 are the only entities entitled to vote in the election, with each landowner having one vote for each acre or portion of an acre of land it owns in the CFD.

Each of the owners of property in the CFD has previously submitted to the Authority a Petition which waives the time to election and the need for ballot analysis and arguments. Ballots were sent to the property owners on September 28, 2004 with instructions that they be returned to the Secretary of the Authority by 3:30 p.m. on October 5th. Only ballots returned by such time and date are eligible to be counted in the election.

10/5/04: BRJ/Adopt Resolution No. 04-09 and waive reading/ds
The Board of Directors is now being asked to adopt a resolution declaring the results of the election, as tabulated by the Secretary of the Authority and, assuming that two-thirds of the votes cast in the election, are in favor of the ballot proposition, directing that a Notice of Special Tax Lien be recorded against the property in the CFD. The Notice, when recorded with the County Recorder, places a lien on property in the CFD to secure the payment of special taxes authorized to be levied by the Authority in the CFD. The Board of Directors is also being requested to have the first reading of the ordinance levying the special taxes in the CFD.

It is expected that the Board of Directors will have the second reading of and adopt the Ordinance at its next meeting, and will be requested to authorize the issuance of bonds for the CFD at a later meeting. The bonds, when issued, will be payable solely from special taxes levied on property in the CFD and from amounts in a reserve fund funded with bond proceeds, and the members of the Authority will have no responsibility whatsoever for the repayment of the bonds.

**Fiscal Impact:** Costs of issuance of the proposed bond issue will be paid from the proceeds of the bonds to be issued by the Authority for the CFD. All annual costs of administering the bonds and the CFD will be paid by special taxes levied on the properties in the CFD.

Any CFD bonds will not be general obligations of the Authority, but will be limited obligations of the Authority for the CFD, payable solely from special taxes levied on land in the CFD.

**Attachments:**
- Resolution
- Notice of Special Tax Lien
- Ordinance
BEFORE THE BOARD OF DIRECTORS
OF THE THREE RIVERS LEVEE IMPROVEMENT AUTHORITY

In Re:

AN ORDINANCE LEVYING SPECIAL TAXES
WITHIN THREE RIVERS LEVEE IMPROVEMENT
AUTHORITY COMMUNITY FACILITIES
DISTRICT NO. 2004-1 (SOUTH COUNTY AREA)

Ordinance No. ___

WHEREAS, on August 24, 2004, this Board of Directors of the Three Rivers Levee Improvement Authority (the “Authority”) adopted a resolution entitled “Resolution Declaring Intention to Establish a Community Facilities District and to Authorize the Levy of Special Taxes Therein – South County Area” (the “Resolution of Intention”), stating its intention to establish the Three Rivers Levee Improvement Authority Community Facilities District No. 2004-1 (South County Area) pursuant to the Mello-Roos Community Facilities Act of 1982, Section 53311 et seq. of the California Government Code (the “Law”), to finance the costs of certain flood control improvements (the “Facilities”);

WHEREAS, notice was published as required by the Law of the public hearing called pursuant to the Resolution of Intention relative to the intention of this Board of Directors to form the District and to provide for the costs of the Facilities;

WHEREAS, the Resolution of Intention called for a public hearing on the District to be held on September 28, 2004 and on such date this Board of Directors held the public hearing relative to the determination to proceed with the formation of the District; and

WHEREAS, at the public hearing all persons desiring to be heard on all matters pertaining to the formation of the District and the levy of special taxes in the District were heard, substantial evidence was presented and considered by this Board of Directors and a full and fair hearing was held;

WHEREAS, subsequent to said hearing, this Board of Directors adopted resolutions entitled “Resolution of Formation of Three Rivers Levee Improvement Authority Community Facilities District No. 2004-1 (South County Area), Authorizing the Levy of a Special Tax Within the District, Preliminarily Establishing an Appropriations Limit for the District, Submitting Levy of the Special Tax and the Establishment of the Appropriations Limit to the Qualified Electors of the District” (the “Resolution of Formation”), “Resolution Determining the Necessity to Incur Bonded Indebtedness Within Three Rivers Levee Improvement Authority Community Facilities District No. 2004-1 (South County Area) and Submitting Proposition to the Qualified Electors of the District” (the “Resolution of Necessity”) and “Resolution Calling Special Election Within Three Rivers Levee Improvement Authority Community Facilities District No. 2004-1 (South County Area)”, which resolutions established the District, authorized the levy of a special tax with the District, and called an election within the District on the proposition of incurring indebtedness, levying a special tax and establishing an appropriations limit for the District, respectively; and
WHEREAS, on October 5, 2004 an election was held within the District in which the eligible landowner electors approved said propositions.

NOW, THEREFORE, the Board of Directors of the Three Rivers Levee Improvement Authority DOES HEREBY ORDAIN as follows:

Section 1. By the passage of this Ordinance this Board of Directors hereby authorizes and levies special taxes within the District, pursuant to the Law, at the rate and in accordance with the rate and method of apportionment of special taxes for the District approved by the Resolution of Formation (the "Rate and Method") which Resolution is by this reference incorporated herein. The special taxes are hereby levied commencing in fiscal year 2004-2005 and in each fiscal year thereafter until payment in full of any bonds issued by the Authority for the District (the "Bonds") as contemplated by the Resolution of Formation and the Resolution of Necessity and payment in full all costs of administering the District have been paid.

Section 2. The Treasurer of the Authority is hereby authorized and directed each fiscal year to determine the specific special tax rate and amount to be levied for each parcel of real property within the District, in the manner and as provided in the Resolution of Formation.

Section 3. Properties or entities of the State, federal or local governments shall be exempt from any levy of the special taxes, to the extent set forth in the Rate and Method. In no event shall the special taxes be levied on any parcel within the District in excess of the maximum tax specified in the Rate and Method.

Section 4. All of the collections of the special tax shall be used as provided for in the Law and in the Resolution of Formation including the payment of principal and interest on the Bonds, the replenishment of the reserves for the Bonds, the replenishment of a special tax stabilization fund, the payment of costs of flood control improvements, the provision of reimbursements of special taxes required to be made by the District, the provision of early retirement of indebtedness of the District, the payment of the costs of the Authority and the County of Yuba in administering the District and the costs of collecting and administering the special tax.

Section 5. The special taxes shall be collected from time to time as necessary to meet the financial obligations of the District (a) with respect to one-time taxes, of the taxes set forth in the Rate and Method; and (b) otherwise on the secured real property tax roll in the same manner as ordinary ad valorem taxes are collected. The special taxes shall have the same lien priority, and be subject to the same penalties and the same procedure and sale in cases of delinquency as provided for ad valorem taxes. In addition, the provisions of Section 53356.1 of the California Government Code shall apply to delinquent special tax payments. The Treasurer of the Authority is hereby authorized and directed to take all actions necessary in order to effect proper billing and collection of the special tax, so that the special tax shall be levied and collected in sufficient amounts and at the times necessary to satisfy the financial obligations of the District in each fiscal year until the Bonds are paid in full and provision has been made for payment of all of the administrative costs of the District.

Notwithstanding the foregoing, the Treasurer of the Authority may collect any one-time special tax or one or more installments of the backup special taxes on any one or more parcels in the District by means of direct billing by the Authority of the property owners within the District, if, in the judgment of the Treasurer, such means of collection will reduce the administrative burden on the Authority in administering the District or is otherwise appropriate in the circumstances. In such event, the special
taxes shall become delinquent if not paid when due as set forth in any such respective billing to the applicable property owners.
Section 6. If for any reason any portion of this Ordinance is found to be invalid, or if the special tax is found inapplicable to any particular parcel within the District, by a Court of competent jurisdiction, the balance of this Ordinance, and the application of the special tax to the remaining parcels within the District shall not be affected.

* * * * *

INTRODUCED and first read on October 5, 2004, and PASSED AND ADOPTED this ___ day of __________, 2004, by the Board of Directors of the Three Rivers Levee Improvement Authority, by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

________________________
Chairperson

________________________
ATTEST: DONNA STOTTERMeyer, SECRETARY

________________________
APPROVED AS TO FORM: COUNSEL TO THE AUTHORITY, DANIEL MONTGOMery

200-A:01, 01/1981
9/29/04
October 12, 2004

TO: Three Rivers Levee Improvement Authority
FROM: Randy Margo, Assistant County Administrator
SUBJECT: Consider Solicitation of Request for Proposals for Bear River Setback Levee

Recommended Action
Approve the attached solicitation of Request for Proposals for the Bear River Setback Levee.

Purpose of Recommendation
The proposed recommendation will provide a competitive process for engineering, design and related services necessary to complete the Bear River Setback Levee. Importantly, the firm selected will be required to certify the levees as meeting FEMA criteria if the U.S. Army Corps of Engineers chooses not to provide this certification.

Discussion
Back in May 2003, the U.S. Army Corps of Engineers informed local officials that a study was being undertaken on the levee system in Southwest Yuba County. As a result, Yuba County along with Reclamation District 784 and the Yuba County Water Agency hired its own engineering consultants to evaluate the protection provided by these levees. Levee deficiencies were identified as a result of that evaluation along with proposed remedies, including a setback levee to be constructed north of the Bear River from 1,400 feet below State Route 70 crossing to the confluence of the Feather River.

The attached solicitation for engineering, design and related services provides a scope of services along with the tasks, schedule, selection criteria, and evaluation process. The schedule calls for selection of the consultant by November 16, 2004 with the notice to proceed provided by December 15, 2004. The successful firm will need to ensure completion of construction by October 31, 2006.

Fiscal Impact
Funding will be provided by a combination of federal, state and local developer funds. Notice to proceed will be dependent upon obtaining the required permits from the State Board of Reclamation.
You are invited to submit a Proposal and other material in accordance with the Scope of Services and Tasks to be considered for selection to design a setback levee on the Bear River.

A. Scope of Services

The Three Rivers Levee Improvement Authority (TRLIA) is seeking a consultant to provide engineering services to design a setback levee project for the Lower Bear River in Reclamation District No. 784 (RD 784). The setback levee will be constructed in phases. The first phase will be to build the setback levee. The second phase will be to degrade portions of the existing levee. The new detention basin for RD 784’s pump station No. 2 and land between the existing levee and the setback levees will be used for borrow. The TRLIA is working with the Resource Agencies on the ecosystem enhancement portions of the project. The restoration design may be conducted outside the scope of this contract. However, at a minimum, coordination on grading of borrow areas to avoid fish stranding and defining segments of existing levee that will remain in place will be conducted under this contract. This is a flood control element of the Yuba-Feather Supplemental Flood Control Project. Services required are as follows:

1. Review available geotechnical field investigations, laboratory tests, and analyses; and perform any additional investigations and analyses as needed to complete the design. The results of the analyses and design considerations are to be documented in a Basis of Design Report.
2. Evaluate existing topographic information and determine the need and extent of additional surveys necessary for final design.
3. The consultant will develop a 2-dimensional (2-D) hydraulic model.
4. Prepare all environmental documents for full compliance with the California Environmental Quality Act (CEQA) that may be needed to supplement the EIR that TRLIA is in the process of completing. TRLIA will be the lead agency for CEQA purposes.
5. Assist TRLIA in conducting a public outreach program including preparation of newsletters and hosting public workshops.
6. Prepare 35%, 90%, and final design plans, specs and cost estimates (PS&E).
7. Prepare permit applications and required supporting documents to secure all necessary permits from regulatory agencies and utilities, with the exception of the 404 permit.
8. Construction services including pre-bid assistance, field reviews, response to contractor’s request(s) for information, and review of shop drawings. Construction
management and inspection is expected to be provided by others but may be negotiated with the consultant if subsequently needed.

9. Coordination with the US Army Corps of Engineers (Corps) by the consultant during the design and construction of the project is required. It is the intent that the Corps will be able to certify these levees as meeting FEMA “Base Flood” criteria at the conclusion of the project. However, the consultant will be required to certify the levees as meeting FEMA criteria if the Corps chooses not to do this certification.

10. The Basis of Design Report, 35%, 90%, and final PS&E are to be reviewed by TRLIA, Corps, the Department of Water Resources, The Reclamation Board and others. Engineering consultant will be responsible for integrating review comments and providing record of responses.

11. Real Estate and right of way will be addressed under separate contract. However, the selected consultant will need to provide technical support for this effort.

B. Background

Local representatives have been informed that the Corps has investigated the RD 784 Bear, Yuba, Feather Rivers and the Western Pacific Interceptor Canal (WPIC) levees as part of the Lower Feather River Floodplain Mapping Study, conducted under contract with DWR. The draft report concluded that portions of these levees lack up to one foot of freeboard on the FEMA “Base Flood” (1/100 year Annual Exceedence Probability flood event).

Southwest Yuba County is protected by these levees, which includes the Plumas Lake Specific Plan Area. These areas could potentially be mapped into a Federal Emergency Management Agency (FEMA) special flood hazard area. The affected lands are not presently identified as being in a special flood hazard area as shown on the current FEMA maps. If FEMA releases a new map with this designation, it will have significant implications for local landowners. Development on land within a special flood hazard area would be subject to floodplain regulations and limitations, including the Yuba County Flood Plain Management Ordinance. Houses and structures located in this special hazard area may require flood insurance and flood insurance rates will increase. In some situations, it is not feasible to construct buildings within a special flood hazard area. The affected lands will include large portions of the Plumas Lake Specific Plan Area.

Local agencies have worked to acquire and verify the information relied upon by, and underlying, the Corps’ conclusions. The TRLIA has evaluated the scope of the problem and potential remedies.

TRLIA has issued a separate contract for design of the WPIC, Yuba River, and the Bear River upstream of the levee setback. The remaining portion that involves the setback levee in the lower Bear River area is the subject of this RFP.
C. Available Data

Report on Feasibility of RD 784 Supplemental Flood Control Improvements of the Yuba-Feather Flood Control Project – This report, dated October 2004, is available for review at TRLA during normal office hours. The report is also available for reproduction at the consultant’s expense at:

Brownie’s
1322 V Street
Sacramento, CA
(916) 443-1322

Topographic surveys from earlier studies – This material will be provided to the selected applicant.

Geotechnical explorations from earlier studies – This material will be provided to the selected applicant.

Hydraulic and hydrologic models – The HEC-RAS model developed by the Corps will be provided to the selected applicant.

D. Advisory Committee

TRLA will establish an Advisory Committee that will participate in the selection of the consultant and provide assistance to the design team.

E. Tasks

The consultant will be required to:

1. Conduct site visits to the setback levee area to obtain information, assess existing site conditions, and reconcile design details to actual field conditions. A kickoff meeting will be held between design team members and TRLA personnel to discuss design and operation criteria. Available information pertaining to levee design, construction and operation will be collected, reviewed for relevance, and incorporated into the levee setback design as appropriate. Information collected and reviewed will include details from previous levee designs, levee repair and maintenance information, previous studies, and construction records.

2. Prepare a Quality Control Plan.

3. Prepare a Basis of Design Report. The Basis of Design Report will establish the design basis and will present the criteria, methods of analysis, and standards to be used in the design of the setback levee.

4. Attend and document project meetings and monthly design coordination meetings.

5. Conduct hydraulic modeling for the Project reach. The Corps has constructed a HEC-RAS model that is available for use. A 2-D hydraulic model will need to be developed to address changes in velocity and design of erosion control measures on
the entire RD 784 Western Pacific Interceptor Canal and Bear River levees. Portions of the existing levee will be left in place and the 2-D analysis will need to provide input into the design process to minimize hydraulic impacts of these remnant levees. Evaluation of the RD 784 Feather River levee may also need to be conducted to evaluate erosion potential as part of the FEMA certification effort. Once a final design is developed, the consultant will update the HEC-RAS model to reflect the design conditions.

6. The setback levee will impact the interior drainage floodplain. As a result, RD 784’s pump station No. 2 will need to be either expanded, a new detention basin constructed, or some combination of these two alternatives developed as part of the project. The consultant will be responsible for the design and all associated permits for this task.

7. Field explorations in support of the setback levee design will be needed. Detailed geologic reconnaissance and mapping of the levee alignment to augment the existing information will be required. This will likely include:
   a. Topographic mapping of the setback levee and borrow area site. Additional surveys will be performed in areas where greater detail is needed, as appropriate.
   b. Drilling of geotechnical test borings in the foundation of the setback levee. These explorations will provide needed data on the setback levee foundation conditions, including the presence of permeable layers in the foundation that would require underseepage mitigation.
   c. Seismic refraction survey or cone penetration testing to provide supplemental foundation information along the setback levee alignment.
   d. Excavation of test pits and drilling of test borings in potential borrow areas to define the limits of potential borrow, determine quantity of material available, and to obtain soil samples for material property testing.
   e. Other activities within this task will include preparation of subcontracts, obtaining site access, permit acquisition, utility clearance, supervision of subcontractors, documenting and logging field exploration activities, and preparing logs and data summaries.

8. Provide the plans, specifications and cost estimates at the 35%, 90%, and final milestones.

9. TRLIA will be responsible for obtaining the 404 permit. The consultant will be required to provide any other permits and provide technical input for this process, including coordination with the permitting agencies on technical issues.

10. May be required to certify the levees as meeting FEMA’s minimum standard for protection against the “Base Flood”.

11. The consultant will be required to develop a schedule that will ensure that construction of the setback levee can be completed no later than October 31, 2006.
F. Schedule

1. Issue Request for Proposals October 13, 2004
2. Proposal submittal deadline November 8, 2004
3. Notification of interview time November 9, 2004
4. Interview November 10, 2004
5. Select consultant November 16, 2004
6. Finalize contract negotiations December 9, 2004
7. NTP December 15, 2004

G. Proposals

Applicants who are interested in providing professional services for the tasks described above are to submit a Proposal that includes the following:

1. Identification of principal(s) and subconsultants, including key personnel and lead persons to be assigned to the project. Please be very specific about education and background of all principals and subconsultants, as well as current or past recent participation directly with the primary applicant. Resumes of primary participants must be included.

2. A written statement of the nature of similar projects recently completed. Be specific regarding projects that are the same or similar in nature to what is described in this RFP.

3. Demonstration of knowledge of Federal, State, and local laws, rules, regulations, ordinances, etc., specific to related projects.

4. Scope of work defining the activities that will need to be completed for design of the setback levee.

5. Schedule for design, permitting, bid, and construction of the project that will ensure completion of construction by October 31, 2006.

6. Evidence of possession of valid California State registrations in the appropriate design disciplines.

7. Estimated effort and cost associated with each task will be submitted in a separate sealed envelop.

TRLIA staff will not respond to any question or concerns of a technical nature with any RFP respondents. Administrative questions may be directed to Randy Margo, TRLIA, at (530) 749-7575.

H. Selection Criteria

Applicants shall submit six (6) copies of the Proposal and one (1) sealed envelope containing six (6) copies of the estimated effort by task and cost. An advisory board appointed by TRLIA will evaluate all submitted Proposals in accordance with the criteria stated below. All applicants will be invited to an interview to be held at TRLIA’s offices. After the interviews have been completed, the envelopes containing estimated effort and
cost will be opened and the respondents will be ranked. Evaluation and selection criteria include the following:

1. Professional excellence.
2. Demonstrated competence and specialized experience in the following:
   a. Levee improvement projects.
   b. Hydraulic and hydrologic studies, investigations, and designs.
   c. Environmental considerations similar to this project.
   d. Capacity to accomplish the assigned tasks within the proposed project schedule.
3. Qualification and experience of key personnel.
4. Approach and understanding of design issues presented in the applicant’s proposed Scope of Work.
5. Estimated design services costs.

I. Submittal Documents

Applicants who are interested in providing professional service for this project are required to submit a Proposal and cost estimate no later than 4:00 pm on November 8, 2004. All Proposals and materials submitted in response to this RFP become property of TRLIA and will not be returned. Please submit six (6) copies of all material to:

Three Rivers Levee Improvement Authority
Attn: Charles K. McClain
915 Eighth Street, Suite 115
Marysville, CA 95901-5273

Receipt in the above office must be by the deadline stated, regardless of postmark.

J. Evaluation Process and Contract Award

Each submittal will be reviewed for completeness of documentation. These submittals will be evaluated and scored by a panel based on the scope of services and selection criteria. Those applicants selected for an interview will be asked to make a brief presentation on their qualifications, experience and proposal for design. This interview is tentatively scheduled as shown above. A contract will be proposed with the selected firm. This firm will be asked to submit a detailed fee proposal, including hourly rates and cost. TRLIA will then attempt to negotiate a fee for services that is fair and reasonable. A contract award shall be made to the responsible applicant whose Proposal is most advantageous to TRLIA. TRLIA’s objective is to obtain the highest qualified applicant to achieve the objectives within a realistic time frame and reasonable cost. Qualifications, experience and proposed scope of work as a whole are more important than cost. After successful negotiation, a contract will be executed for the services. One contract will be awarded.
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<td>Morton &amp; Plato, Inc.</td>
<td>1786 Tribute Road, Suite 200</td>
<td>Sacramento, CA 95815</td>
<td>916 927-3400</td>
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<td>P.O. Box 1925</td>
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<td>Navagin Consulting</td>
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<td>San Jose, CA 95193-4568</td>
<td>916 246-4848</td>
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<td>2580 Van Hornt Oaks Way</td>
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<td>916 925-0550</td>
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<td>210 Chula Vista Place, Suite 500</td>
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<td>916 756-7030</td>
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<td></td>
<td>115 Linkeith Street</td>
<td>Santa Cruz, CA 95060</td>
<td>931 427-0288</td>
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<td>3511 W. Capital Avenue</td>
<td>West Sacramento, CA 95691</td>
<td>916 371-7260</td>
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<td>9800 Sunrise Boulevard, Suite 210</td>
<td>Citrus Heights, CA 95610</td>
<td>916 739-0050</td>
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<td>1330 Broadway, Suite 900</td>
<td>Oakdale, CA 95361</td>
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<td>3301 C Street, Blvd 100</td>
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<td>916 341-7786</td>
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<td>1254 Glenhaven Court</td>
<td>El Dorado Hills, CA 95762</td>
<td>916 933-0833</td>
</tr>
</tbody>
</table>

*Note: The phone numbers listed are for the respective individuals or offices, not the entire company.*